

Seminar 2

(S2.1) Let \mathcal{L} be a first-order language. Prove that for any formulas φ, ψ of \mathcal{L} and any variable $x \notin FV(\varphi)$,

$$\forall x(\varphi \wedge \psi) \models \varphi \wedge \forall x\psi \quad (1)$$

$$\exists x(\varphi \vee \psi) \models \varphi \vee \exists x\psi \quad (2)$$

$$\varphi \models \exists x\varphi \quad (3)$$

$$\forall x(\varphi \rightarrow \psi) \models \varphi \rightarrow \forall x\psi \quad (4)$$

$$\exists x(\psi \rightarrow \varphi) \models \forall x\psi \rightarrow \varphi. \quad (5)$$

Proof. Let \mathcal{A} be an \mathcal{L} -structure and $e : V \rightarrow A$.

We prove (1):

$$\begin{aligned} \mathcal{A} \models (\forall x(\varphi \wedge \psi))[e] &\iff \text{for all } a \in A, \mathcal{A} \models (\varphi \wedge \psi)[e_{x \leftarrow a}] \\ &\iff \text{for all } a \in A, (\mathcal{A} \models \varphi[e_{x \leftarrow a}] \text{ and } \mathcal{A} \models \psi[e_{x \leftarrow a}]) \\ &\iff \text{for all } a \in A, (\mathcal{A} \models \varphi[e] \text{ and } \mathcal{A} \models \psi[e_{x \leftarrow a}]) \text{ (by P. 1.26.(ii))} \\ &\iff \mathcal{A} \models \varphi[e] \text{ and for all } a \in A, \mathcal{A} \models \psi[e_{x \leftarrow a}] \\ &\iff \mathcal{A} \models \varphi[e] \text{ and } \mathcal{A} \models \forall x\psi[e] \\ &\iff \mathcal{A} \models (\varphi \wedge \forall x\psi)[e]. \end{aligned}$$

We prove (2):

$$\begin{aligned} \mathcal{A} \models (\exists x(\varphi \vee \psi))[e] &\iff \text{there exists } a \in A \text{ such that } \mathcal{A} \models (\varphi \vee \psi)[e_{x \leftarrow a}] \\ &\iff \text{there exists } a \in A \text{ such that } (\mathcal{A} \models \varphi[e_{x \leftarrow a}] \text{ or } \mathcal{A} \models \psi[e_{x \leftarrow a}]) \\ &\iff \text{there exists } a \in A \text{ such that } (\mathcal{A} \models \varphi[e] \text{ or } \mathcal{A} \models \psi[e_{x \leftarrow a}]) \text{ (by P. 1.26.(ii))} \\ &\iff \mathcal{A} \models \varphi[e] \text{ or there exists } a \in A \text{ such that } \mathcal{A} \models \psi[e_{x \leftarrow a}] \\ &\iff \mathcal{A} \models \varphi[e] \text{ or } \mathcal{A} \models \exists x\psi[e] \\ &\iff \mathcal{A} \models (\varphi \vee \exists x\psi)[e]. \end{aligned}$$

We prove (3):

$$\begin{aligned} \mathcal{A} \models \exists x\varphi[e] &\iff \text{there exists } a \in A \text{ such that } \mathcal{A} \models \varphi[e_{x \leftarrow a}] \\ &\iff \text{there exists } a \in A \text{ such that } \mathcal{A} \models \varphi[e] \text{ (by P. 1.26.(ii))} \\ &\iff \mathcal{A} \models \varphi[e]. \end{aligned}$$

We prove (4):

$$\begin{aligned}
\mathcal{A} \models (\forall x(\varphi \rightarrow \psi))[e] &\iff \text{for all } a \in A, \mathcal{A} \models (\varphi \rightarrow \psi)[e_{x \leftarrow a}] \\
&\iff \text{for all } a \in A, (\mathcal{A} \not\models \varphi[e_{x \leftarrow a}] \text{ or } \mathcal{A} \models \psi[e_{x \leftarrow a}]) \\
&\iff \text{for all } a \in A, (\mathcal{A} \not\models \varphi[e] \text{ or } \mathcal{A} \models \psi[e_{x \leftarrow a}]) \text{ (by P. 1.26.(ii))} \\
&\iff \mathcal{A} \not\models \varphi[e] \text{ or for all } a \in A, \mathcal{A} \models \psi[e_{x \leftarrow a}] \\
&\iff \mathcal{A} \not\models \varphi[e] \text{ or } \mathcal{A} \models \forall x\psi[e] \\
&\iff \mathcal{A} \models (\varphi \rightarrow \forall x\psi)[e].
\end{aligned}$$

We prove (5):

$$\begin{aligned}
\mathcal{A} \models \exists x(\psi \rightarrow \varphi)[e] &\iff \text{there exists } a \in A \text{ such that } \mathcal{A} \models (\psi \rightarrow \varphi)[e_{x \leftarrow a}] \\
&\iff \text{there exists } a \in A \text{ such that } (\mathcal{A} \not\models \psi[e_{x \leftarrow a}] \text{ or } \mathcal{A} \models \varphi[e_{x \leftarrow a}]) \\
&\iff \text{there exists } a \in A \text{ such that } (\mathcal{A} \not\models \psi[e_{x \leftarrow a}] \text{ or } \mathcal{A} \models \varphi[e]) \\
&\quad \text{(by P. 1.26.(ii))} \\
&\iff (\text{there exists } a \in A \text{ such that } \mathcal{A} \not\models \psi[e_{x \leftarrow a}]) \text{ or } \mathcal{A} \models \varphi[e] \\
&\iff (\text{it is not true that for all } a \in A \text{ we have that } \mathcal{A} \models \psi[e_{x \leftarrow a}]) \\
&\quad \text{or } \mathcal{A} \models \varphi[e] \\
&\iff (\text{it is not true that } \mathcal{A} \models \forall x\psi[e]) \text{ or } \mathcal{A} \models \varphi[e] \\
&\iff \mathcal{A} \not\models \forall x\psi[e] \text{ or } \mathcal{A} \models \varphi[e] \\
&\iff \mathcal{A} \models (\forall x\psi \rightarrow \varphi)[e].
\end{aligned}$$

□

(S2.2) Let \mathcal{L} be a first-order language that contains

- two unary relation symbols R, S and two binary relation symbols P, Q ;
- a unary function symbol f and a binary function symbol g ;
- two constant symbols c, d .

Find prenex normal forms for the following formulas of \mathcal{L} :

$$\begin{aligned}
\varphi_1 &= \forall x(f(x) = c) \wedge \neg \forall z(g(y, z) = d) \\
\varphi_2 &= \forall y(\forall xP(x, y) \rightarrow \exists zQ(x, z)) \\
\varphi_3 &= \exists x \forall y P(x, y) \vee \neg \exists y(S(y) \rightarrow \forall zR(z)) \\
\varphi_4 &= \exists z(\exists xQ(x, z) \vee \exists xR(x)) \rightarrow \neg(\neg \exists xR(x) \wedge \forall x \exists zQ(z, x))
\end{aligned}$$

Proof.

$$\begin{aligned}
\forall x(f(x) = c) \wedge \neg \forall z(g(y, z) = d) &\equiv \forall x(f(x) = c \wedge \exists z \neg(g(y, z) = d)) \\
&\equiv \forall x \exists z (f(x) = c \wedge \neg(g(y, z) = d))
\end{aligned}$$

$$\begin{aligned}
\forall y(\forall xP(x, y) \rightarrow \exists zQ(x, z)) &\equiv \forall y \exists z (\forall xP(x, y) \rightarrow Q(x, z)) \\
&\equiv \forall y \exists z (\forall uP(u, y) \rightarrow Q(x, z)) \\
&\equiv \forall y \exists z \exists u (P(u, y) \rightarrow Q(x, z)).
\end{aligned}$$

$$\begin{aligned}
\exists x \forall y P(x, y) \vee \neg \exists y (S(y) \rightarrow \forall z R(z)) &\equiv \exists x (\forall y P(x, y) \vee \neg \exists y \forall z (S(y) \rightarrow R(z))) \\
&\equiv \exists x (\forall y P(x, y) \vee \forall y \exists z \neg (S(y) \rightarrow R(z))) \\
&\equiv \exists x (\forall u P(x, u) \vee \forall y \exists z \neg (S(y) \rightarrow R(z))) \\
&\equiv \exists x \forall u \forall y \exists z (P(x, u) \vee \neg (S(y) \rightarrow R(z)))
\end{aligned}$$

$$\begin{aligned}
\exists z (\exists x Q(x, z) \vee \exists x R(x)) \rightarrow \neg (\neg \exists x R(x) \wedge \forall x \exists z Q(z, x)) &\equiv \\
\exists z \exists x (Q(x, z) \vee R(x)) \rightarrow (\neg \neg \exists x R(x) \vee \neg \forall x \exists z Q(z, x)) &\equiv \\
\exists z \exists x (Q(x, z) \vee R(x)) \rightarrow (\exists x R(x) \vee \exists x \forall z \neg Q(z, x)) &\equiv \\
\exists z \exists x (Q(x, z) \vee R(x)) \rightarrow \exists x (R(x) \vee \forall z \neg Q(z, x)) &\equiv \\
\exists z \exists x (Q(x, z) \vee R(x)) \rightarrow \exists x \forall z (R(x) \vee \neg Q(z, x)) &\equiv \\
\exists z \exists x (Q(x, z) \vee R(x)) \rightarrow \exists u \forall v (R(u) \vee \neg Q(v, u)) &\equiv \\
\forall z \forall x \exists u \forall v ((Q(x, z) \vee R(x)) \rightarrow (R(u) \vee \neg Q(v, u))) &
\end{aligned}$$

□

(S2.3) Axiomatize the following classes of graphs:

- (i) complete graphs;
- (ii) graphs with at least one path of length 3;
- (iii) graphs with at least one cycle of length 3;
- (iv) graphs with the property that any vertex has exactly one incident edge.

Proof. We use the notations from the lectures. We take $\mathcal{L}_{Graf} = (\dot{E})$. Graph theory is $Th((IREFL), (SIM))$. We denote by \mathcal{K} the class of graphs that will be axiomatized.

- (i) We add the sentence

$$\varphi_1 := \forall x \forall y (\neg(x = y) \rightarrow \dot{E}(x, y)).$$

Then $\mathcal{K} = Mod(Th((IREFL), (SIM), \varphi_1))$.

- (ii) We add the sentence

$$\varphi_2 := \exists v_1 \exists v_2 \exists v_3 \exists v_4 \left(\bigwedge_{1 \leq i < j \leq 4} \neg(v_i = v_j) \wedge \dot{E}(v_1, v_2) \wedge \dot{E}(v_2, v_3) \wedge \dot{E}(v_3, v_4) \right).$$

Then $\mathcal{K} = Mod(Th((IREFL), (SIM), \varphi_2))$.

(iii) We add the sentence

$$\varphi_3 := \exists v_1 \exists v_2 \exists v_3 \left(\bigwedge_{1 \leq i < j \leq 3} \neg(v_i = v_j) \wedge \dot{E}(v_1, v_2) \wedge \dot{E}(v_2, v_3) \wedge \dot{E}(v_3, v_1) \right).$$

Then $\mathcal{K} = \text{Mod}(\text{Th}((\text{IREFL}), (\text{SIM}), \varphi_3))$.

(iv) We add the sentence

$$\varphi_4 := \forall x \exists y \dot{E}(x, y) \wedge \forall x \forall y \forall z (\dot{E}(x, y) \wedge \dot{E}(x, z) \rightarrow y = z).$$

Then $\mathcal{K} = \text{Mod}(\text{Th}((\text{IREFL}), (\text{SIM}), \varphi_4))$.

□

(S2.4) Let \mathcal{L} be a first-order language, φ, ψ be formulas and x be a variable. Prove that:

- (i) $\models \varphi$ implies $\models \forall x \varphi$;
- (ii) $\models \forall x (\varphi \rightarrow \psi) \rightarrow (\forall x \varphi \rightarrow \forall x \psi)$.

Proof. (i) Assume that $\models \varphi$. We have to prove that $\models \forall x \varphi$, that is, for any \mathcal{L} -structure \mathcal{A} and any \mathcal{A} -assignment $e : V \rightarrow A$, we have that $\mathcal{A} \models (\forall x \varphi)[e]$.

Let \mathcal{A} be an \mathcal{L} -structure and $e : V \rightarrow A$. We get that $\mathcal{A} \models (\forall x \varphi)[e]$ iff for all $a \in A$, $\mathcal{A} \models \varphi[e_{x \leftarrow a}]$. But this is true, taking into account the fact that $\models \varphi$, hence $\mathcal{A} \models \varphi[e']$, with $e' := e_{x \leftarrow a}$.

(ii) Let \mathcal{A} be an \mathcal{L} -structure and $e : V \rightarrow A$ be an \mathcal{A} -assignment. We have to prove that

$$\mathcal{A} \models (\forall x (\varphi \rightarrow \psi) \rightarrow (\forall x \varphi \rightarrow \forall x \psi))[e].$$

We assume that

$$(*) \quad \mathcal{A} \models (\forall x (\varphi \rightarrow \psi))[e]$$

and we wish to get that

$$\mathcal{A} \models (\forall x \varphi \rightarrow \forall x \psi)[e].$$

Suppose that

$$(**) \quad \mathcal{A} \models (\forall x \varphi)[e].$$

We have to prove that $\mathcal{A} \models (\forall x \psi)[e]$.

Let $a \in A$. Applying (*), we get that $\mathcal{A} \models (\varphi \rightarrow \psi)[e_{x \leftarrow a}]$, and, by (**), we have that $\mathcal{A} \models \varphi[e_{x \leftarrow a}]$. From $\mathcal{A} \models (\varphi \rightarrow \psi)[e_{x \leftarrow a}]$ and $\mathcal{A} \models \varphi[e_{x \leftarrow a}]$, it follows that $\mathcal{A} \models \psi[e_{x \leftarrow a}]$. Thus, $\mathcal{A} \models (\forall x \psi)[e]$.

□